

# **CHAPTER I**

## **INTRODUCTION**

### **1.1 Background**

Literary work is the result of the imagination of the author to express thoughts, ideas, and feelings by using language. According to Pradopo ( 1994: 26) states that “The literary work is as the picture of the world and human life, the main criteria that is putted on the literary work is ‘truth’, or everything that wants to be illustrated by the author. Through that process, the readers of their literary works will be able to catch the characteristic of the author related with the world around him/her.”

Talking about literature that has imaginative nature, we are faced with three types of literature, namely prose, poetry and drama. One type of prose is a novel. Novel is a literary work that describes the style, ideas, inspiration, existence, and behavior in people's lives. In this case, novel with the title "The Adventure of Tom Sawyer" by Mark Twain. The novel provided American characteristics appearing in the story namely Tom and Huck from different levels of social class. Although written as narrative story, Tom Sawyer represent American rural culture in the 19th century.

After read the novel, the researcher interested to conduct this research on this literary work that tell about how ideas can have possible outcome, and the truth can be modified with human values which means the truth is not absolute and can be changed with any actions that are connected to see consistency and

prediction and that is pragmatism. The more interesting phenomena are described on how the characters in the story line endure life by resist the conscience of conformity and consistency by following their own instincts and ideas.

Adventure of Tom Sawyer is sometimes overshadowed by its sequel, Adventures of Huckleberry Finn, the book is considered a masterpiece of American literature, and became one of the first novels to be written on a typewriter. A short description of the novel “Adventure of Tom Sawyer” tells about the life of Tom Sawyer, an orphan, lives with his Aunt Polly and his half-brother Sid in the fictional town of St. Petersburg, Missouri sometime in the 1840s. Therefore, by considering such reason above the researcher would like to analyze this novel that will be stated in the following problem statement below.

## **1.2. Statements of Problem**

Based on the background above the research point out two statements of problem as follows:

- 1.2.1 How does the author present the American characteristic of Tom Sawyer and Huck Finn in the novel “The Adventure of Tom Sawyer”?
- 1.2.2 What are the factors that influence the characters of Tom Sawyer and Huck Finn in the novel “The Adventure of Tom Sawyer”?

## **1.3. Scope of the Study**

In this research, researcher focuses on statement of problems which is focus about the analysis of the American Characteristic through the characters of

Tom Sawyer and Huck Finn, and the factors that influence their characters that seen in the novel "Adventure of Tom Sawyer" by Mark Twain.

#### **1.4. Objectives of Study**

The objectives of study are:

1.2.3 To analyze the American characteristics of Tom Sawyer and Huck Finn through the novel "Adventure of Tom Sawyer" by Mark Twain.

1.2.4 To find out the factors that influence the characters of Tom Sawyer and Huck Finn in the novel "Adventure of Tom Sawyer" by Mark Twain.

#### **1.5. Significances of Study**

In this study, research used two research significances both theoretical and practically, namely as follows:

##### **1.5.1. Theoretical Significance**

Theoretically, the researcher expects that this study can give contribution to the academic studies especially in term of literary work analysis which is related to American Characteristics using the Socio-cultural approach and also can be useful as the reference for the readers who want to enrich their knowledge in the literary work especially in Twain's novel.

##### **1.5.2. Practical Significance**

This analysis practically could be used to help English Literature Students understand the novel "The Adventure of Tom Sawyer" more deeply also to enrich

knowledge and vocabulary by read this novel. In addition, the researcher also wants to motivate the reader to be more critical in reading the literary work like “The Adventure of Tom Sawyer” by provide this analysis.

#### **1.6. Review of Related Study**

In order to accomplish this research and reference data, the researcher has found some reviews of related study that have similarities in the object about the formal material which is then used to compare with this research.

The research take and use some form of previous researchers as the reference and additional data, in this case it is about a whole novel and the formulation in the various concerns without reducing the attention of researcher about Tom and Huck in the novel “Adventure of Tom Sawyer” by Mark Twain.

First, the research by Ramadhani (2013). He made a script entitled “Character Building of Tom Sawyer in Mark Twain’s The Adventure of Tom Sawyer”. This study used socio-cultural approach as the grounding theory to find out the social and cultural phenomena of the South society which influences Tom Sawyer’s character building. The method used in this study are qualitative and descriptive method to analyze the data. The contribution of the script to this research is the analyze of Tom’s character and the character building of the main character influence by the society in the novel could help the researcher to analyze the characters in this novel more deeply and how the researcher uses a socio-cultural approach to analyze this novel.

Second, the research done by Asmirawati (2017). She made a script entitled “The Main Character’s Maturity in Twain’s The Adventures of Tom Sawyer”. This thesis aims to discuss Tom's maturity process to solve the conflicts through his life that full of extraordinary experiences and adventures. Although the main character is a child, he is already has ability to face all the experiences. It shows that he is able to reach maturity in his very young age. The author uses a Structural Approach in analyzing this research. Structural literary approaches an approach that analyzes the intrinsic literary works contained in literary works, then to related the conflicts and maturity contained within the main character, the author uses the Psychoanalysis Theory. The author uses descriptive analysis that describes what is contained in the literary work in the form of analysis. While this research focuses on the American Characteristics as reflected in the Novel “The Adventure of Tom Sawyer” by Mark Twain. In this research, the researcher focuses on statements of problem which is about the analysis of the American Characteristic and the factors that influence their characters in the novel.

## **1.7. Theoretical Bases**

In theoretical bases, the researcher explained the theory that being used in this research to answer the statement of problems. The theory is elaborated below:

### **1.7.1. Characters**

Character is one of the most important elements in literature, character holds the main role in a story of literature such as novel, drama

and poem. Characters as the person that presented in the literary work, that their appearance is interpreted by the reader as being endowed with desires, motivation, and emotional qualities that are expressed in their saying and action in the story.

Character is related to Abram's (1981: 20) says "characters as the person presented in a dramatic or narrative work, who are interpreted by the reader as being endowed with moral and dispositional qualities that are expressed in what they say, they dialogue and what they do the action."

### **1.7.2. American Characteristics**

According to website Global one education, The United States of America, abbreviated as AS, or simply America, is a federal constitutional republic consisting of fifty states and a federal district. The country is located in the central part of North America, which is home to forty-eight contiguous parts, along with the capital district of Washington, DC. The United States is flanked by the Pacific and Atlantic Oceans to the west and east, with Canada to the north and Mexico to the south. The United States of America is one of the most multiethnic and most multicultural countries in the world, which emerged because of massive immigration from all over the world. That is why there are so many characteristics of American.

According to University of Arizona's CESL (Center English Second language) program website states that most people in the US believe that the ideal

person is independent and self-reliant, and most people think of themselves this way. People in the US do not think of themselves as representatives of their families, communities, or social class. The examples of individualism can be seen in the classroom as well. Teachers ask students to be independent and individualistic, to work alone, and to make original work. Here is the one of the characteristic of American that contained in the novel will explain below:

#### **1.7.2.1. Materialism**

In the novel the characters of Tom and Huck is like the usual boy in general and someday Tom thought about finding the hidden treasure. Huck following him. They want to be rich. That's why the researcher took the materialism as the first characteristics of them. The reseacher explained more about metarialism below.

Success in the United States is often measured in dollars. Many people think about money and material possessions as much as time. As a result, you may think that people ignore more important things in life, like love and friendship. This is not true; like people in every country, most people in the US value friendship more than wealth.

Materialism in psychology is defined as a belief regarding the importance of acquiring and owning things in life (Richins and Dawson, 1992). Belk (1985), defines materialism as the importance of a consumer attaches to worldly possessions. The definition asserts that materialism is related to the issue of ownership of worldly goods which are considered important in life. In another definition, materialism is a view that contains orientations, attitudes, beliefs, and

life values that emphasizes or attaches importance to ownership of material goods or material wealth over other life values, such as relating to spiritual, intellectual, social, and cultural matters (Kasser, 2002).

This characteristic of materialism represent about Tom and Huck's character in the novel. There are two more characteristics of American that contained in the novel such as pragmatism and self-reliance. It will be explained below.

#### **1.7.2.2. Pragmatism**

As for the introduction of pragmatism, pragmatism is the most influential philosophy in America during the first quarter of the present century. Not only in America It was also felt in Europe, pragmatism stands out as a movement that not only affected academic philosophy but had a profound impact upon the study of law, education, political and social theory, religion, and arts (Thayer, 1970: 11).

This theory tells about how ideas have insight to predict actions that have essential human truth as our belief should guess. A pragmatic theory is that the truth of an idea or belief is its predictive value: if events occur as our belief predicts they should, the belief is true. Which break down into two, such as true ideas and false idea, As James puts it:

“True ideas are those that we can assimilate, validate, corroborate and verify. False ideas are those that we cannot. That is the practical difference it makes to us to have true ideas; that, therefore, is the meaning of truth,. Truth happens to an idea. It becomes true, is made true by events. Its verity is in fact an



event, a process: the process namely of its verifying itself, its verification” (Doty, 2014: 133).

In James essay “What Pragmatism Means,” he writes, “Pragmatism is willing to take anything, to follow either logic or the senses, and to count the humblest and most personal experiences” (1907b: 44). James concludes with the remark that pragmatism is fundamentally “democratic.” James makes clear that he is concerned with the opinions of others, understood in light of pragmatism’s maxim that there is no final truth.

In another hand Pragmatism is a many-faceted doctrine, now commonly associated with James's name, which maintains that the meaning of ideas lies in their "working" or consequences. That is why is related with this literary work and those are any actions conducted in the story lines create reactions for the essential of human values. (Kraushar, 2014: 443).

Therefore pragmatism became the most influential philosophy during the first quarter of the present century, not only recognize as a movement that affect academic but also law education, political, and many more (Thayer, 1970: 11).

Even though pragmatism creates human values in every result yet, Pragmatism, according to James, "does not stand for any special results. It is a method only." Whenever a dispute arises, it asks for the practical consequences of the rival views. The meaning by method only is the way how human take their options in their life which has their own consequences, disputes happen when an individual select what they want to choose (Bakewell, 2014: 627).

There are two answers for pragmatism, true ideas and false ideas. True ideas are those that we can validate, corroborate and verify, it means we can measure with our limited logic ability. False ideas are those that we cannot. (James, 2013: 142).

Pragmatism can see the result of human values from result through two ideas and the objection leveled at pragmatism from a variety of directions is that it allows for nothing over and above that which serves particular interest and answers particular problems. The point is, pragmatism focusing itself on human practice which denying the availability of ungrasp-able of humans logics that we could see to be executed (Bacon, 2012: 5).

### **1.7.2.3. Self-Reliance**

In general self-reliance sounds like the strong individuals who can endure lives' difficulties and that is right, yet according to Emerson it discusses about important value, principle, idea (or derivative practice or institution) which is permanently indispensable for life, even though some other events can be strange to one another (Kateb, 1995: 3). In another hand considered as selected principle that gather him whenever he goes, he takes what he takes from circle around him (Kateb, 1995: 4).

Every individual has their own life to face with their own principles. Therefore every man is unique so that is why a man is called a method, a progressive arrangement; a selecting principle, gathering his like to him whenever

he goes. He takes only his own out of the multiplicity that sweeps and circles round him. which make them have their own self-reliance (Kateb, 1995: 4).

The success of trial on every difficulty is unknown, man have their method to solve their business which resulting progress for their life, The power which resides in him is new in nature, and none but he knows what that is which he can do, nor does he know until he has tried, even though the first step is the scariest one, the result never lies (Emerson, 2010: 20).

### **1.7.3. The factors that influence the characters of Tom Sawyer and Huck Finn**

According to Egyankosh states that there are several factors that influence the shaping of our personality character, that are internal factor and external factor. By observing the behaviour of a person, we can understand which behaviour has what influence on the personality characteristics of an individual. The researcher will elaborated the factors below.

#### **1.7.3.1. Internal factor (Heredity)**

Heredity includes all those factors that we inherit from our parents. Such factors are innate, that is, they are present in the individual before the time of birth or at the time of birth and determine the path of development of our personality. Hereditary factors that contribute to personality development do so as a result of interactions with the specific social environments in which people live. In other words, personality is the sum total of what a person is. That is, it consists of

behaviours, thoughts and feelings that endure throughout life. Heredity is just like the blue print of our personality which defines the broad limits of personality within which our personality will take shape.

#### **1.7.3.2. External factors (Environmental)**

Personality is not born out of only hereditary factors. Heredity provides only the blue print in terms of chromosomes and genes. But the actual action on that gene is dependent on the availability of environment conducive for that. For example, a person may have mathematical ability but this ability cannot be refined unless that person is provided the opportunity to exercise his ability for maths. Similarly, an individual gifted with talent for music may not become a musician until he gets training and exposure to music. Thus, heredity only provides the raw material what is to be developed out of that material solely depends upon environment in which the person is brought up. Environmental factors divided into three, they are:

##### **1.7.3.2.1. Social Factors**

Human beings are social animals. We are born and brought up in society. Therefore, social conditions, social institutions – family, school, marriage, religion, peer groups and neighbourhood as well as various other social groups will all affect the development of personality. The characters of Tom and Huck also influencing by their social environment.

#### **1.7.3.2.2. Cultural Factors**

Culture is a broad term and includes in it all the customs, traditions, folks, fashions, fads and mores. We all are part of one or other culture. Therefore, cultural effect on personality is bound to take place. Cultural effect is most prominently seen in the way we welcome and greet people. In India when we meet someone greet with folded hands and say namaskar while when a Japanese meets someone he bows before and when an American meets someone he either shakes hand or kisses the other person. This apparent difference in welcoming another person is simply because of learning in a culture. Let me cite you an example of how culture affects development of personality traits. In a classical study by Gadiner (1969) children from America, Thailand, Taiwan, and Germany were compared on hostility trait. Results showed Thai children scored the highest on hostility with American children scoring the least. In another study of drawings by Mexican and Anglo-American children it was found that drawings by Mexican children exhibited masculine traits more than that of Anglo-American children, and this may be because in Mexican culture higher value is placed on the development of masculinity.

#### **1.7.3.2.3 Economic Factors**

In an interesting study children from low income group and rich families were asked to estimate the size of different circles of light with the size of coins of different denominations. It was found that children from poor families overestimated while those from rich families underestimated. Thus it showed that

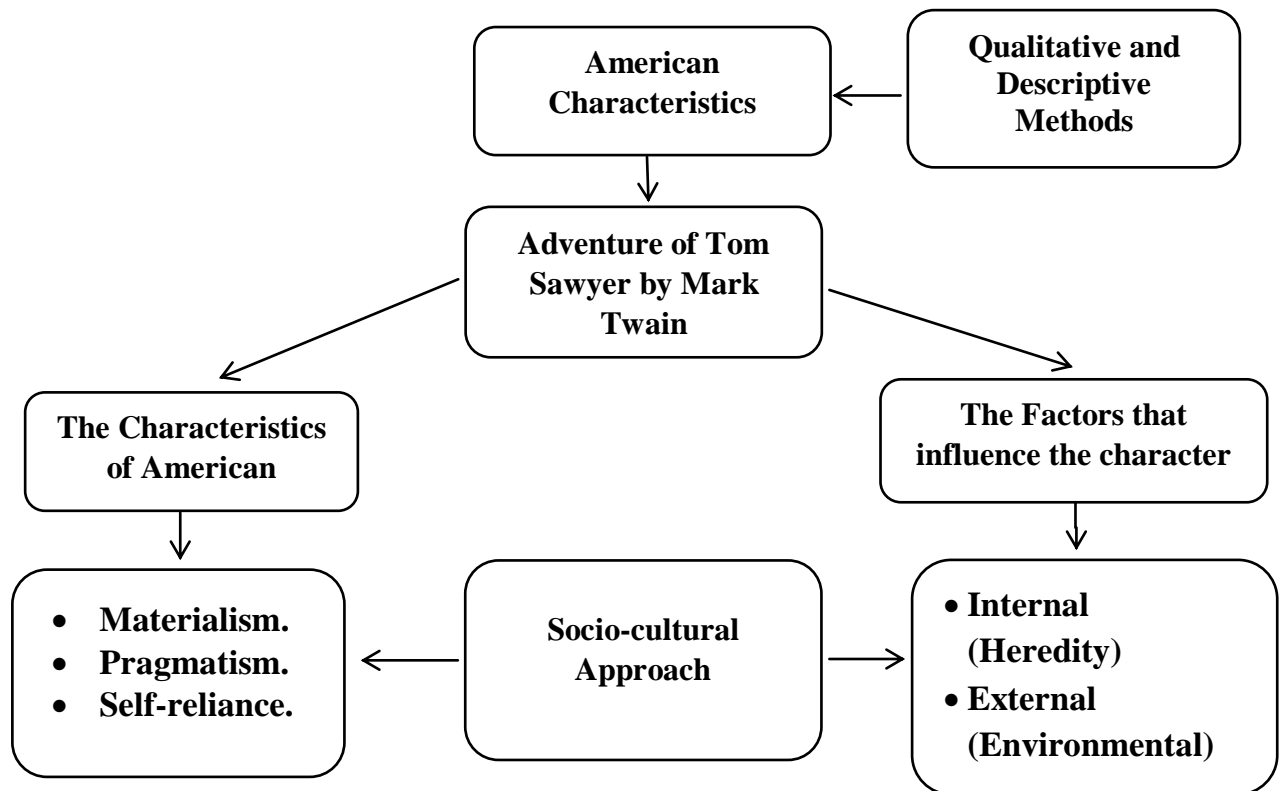
economic factor affects our attitude and perception and consequently our personality. Besides you might have seen that often children from low income groups have low self- confidence, feelings of inferiority and shyness. Economic condition determines access to opportunities to develop personality.

#### **1.7.4. Socio-cultural Approach**

The researcher uses this approach to analyze the novel clearly. The sociocultural approach examines the influences of social and cultural environments on behavior. A major influence on people's behavior, thought processes and emotions are other people and the society they have created. The socio-cultural approach is an approach that emphasizes on the interactions between the children and society as the process of the children's behavior formation. Through these interactions, they learn the values and norms of their society.

Vygotsky (1978) argues that child's cultural development consists of two levels; first is on the social level, and later is on the individual level. It means that on the social level there is a process of psychological development through interaction between the child and the people. On the individual level, there is a process of psychological inside the child. Vygotsky's socio-cultural approach focuses on social interaction and practical transformation of the children. Prior to mastering his own behavior, the child begins to master his surroundings with the help of speech. This produces new relations with the environment in addition to the new organization of behavior itself (1978, p. 25).

### 1.8. Conceptual Scheme



Based on the conceptual scheme above, the researcher would like to reveal the explanation of the scheme itself. The researcher takes a novel from Mark Twain that is "The Adventure of Tom Sawyer" and decide to analyze "American characteristics" of the character Tom Sawyer and Huckleberry Finn used the Qualitative and Descriptive method. The researcher divided the object into two parts those are the characteristics of American and the factors that influencing the characters. Those object will be explained by Socio-cultural approach.

## **1.9. Method of Study**

Methods and techniques of research are important in analyzing a literary work both of it have big role, therefore the research then us some method as following:

### **1.9.1. The Qualitative Descriptive Method**

The goal of qualitative descriptive studies is a comprehensive summarization, in everyday terms, of specific events experienced by individuals or groups of individuals. To some researchers, such a qualitative design category does not exist.

Unfortunately, this has forced other researchers, especially novices to the method of qualitative research, to feel they have to defend their research approach by giving it 'epistemological credibility.' This has led to the labeling of many research studies as phenomenology, grounded theory, or ethnography, when in fact these studies failed to meet the requirements of such qualitative approaches. There are a number of researchers who believe and support the fact that 'qualitative descriptive' is a viable and acceptable label for a qualitative research design. While phenomenology, grounded theory, and ethnography also are descriptive qualitative approaches, by nature, they are not exclusively in the descriptive domain because they also tend to explain phenomena. Thus, a basic/fundamental qualitative descriptive design is a valuable method in and of itself. According to Sandelowski, qualitative descriptive research: should be seen as a categorical, as opposed to a non-categorical, alternative for inquiry; is less interpretive than an 'interpretive description' approach because it does not require the researcher to move as far from or into the data; and, does not require a



conceptual or highly abstract rendering of the data, compared to other qualitative designs.

#### **1.10. Technique of Collecting Data**

By doing this research, the research use five techniques to collect data in this research, the techniques are as follow:

##### **1.10.1. Reading Comprehension**

The research read the novel “Adventure of Tom Sawyer” by Mark Twain repeatedly then analysis the story based on the statement of problem in the previous page to get the American Characteristics of Tom Sawyer point and get to the social condition approach that is found in the Novel.

##### **1.10.2. Watching Movies**

The research on this novel have been made a movies, many version of the movies by the director who's has made the movie, watching many version of the movies then analyzing to find the based of statement of problem in the previous page to get American Characteristics and get to factors that influencing the characters that is found in the movies.

##### **1.10.3. Library Research**

The researcher search from books to find various resources for getting the answer on the base theory and approaches to add and as reference accomplish this research.

#### **1.10.4. Internet Browser**

The research use internet to search references that relate with the topic analyzing on this research. And also the research use internet to find some journal and some works that relate with the topic analysis.

#### **1.10.5. Selecting Expression**

The researcher selects some sentences from the novel, books as well as internet that relate and support for the statements given in this research.

### **1.11. Technique of Analyzing Data**

The researcher divide technique of analyzing data in two types, there are:

#### **1.11.1. Primary Data**

The researcher choose the novel "*Adventure of Tom Sawyer*" by Mark Twain as the material object of this research and as the primary data to analysis.

#### **1.11.2. Secondary Data**

The researcher take some references from the differences sources to analysis the novel as the primary data, some from books, script and internet either. Beside two parts of analyzing data above, the researcher using another three techniques of analyzing data of this research as follow:

##### **1.11.2.1. Classification**

In this part the researcher reading the novel repeatly then the researcher classified which parts will be to analyze. The purpose of this way, it is to find

which part of the statement or paragraph that has relation with the statement of problem in this research.

#### **1.11.2.2. Interpretation**

The researcher interprets about the story of the novel and then fined the problem to be analyzed and then giving the title.

#### **1.11.2.3. Explanation**

The last techniques the researcher explains all parts of this study research from introduction until method and technique in detail and complete. After classified, the researcher provides the explanation so the reader is able to completely understand what the purpose of the statements that had been classified.